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1031 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

*Title of Invention*

# System and Method for Passively Aligning and Coupling Optical Devices

Named Inventor(s)

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Michael W. Medin and Eric T. Marple

*Attorney Docket*

06948.105024
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EE859733056US
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209/851602

05/09/01

## APPLICATION ELEMENTS

<b>ADDRESS TO:</b>	Assistant Commissioner of Patents
	Box Patent Application
	Washington, D.C. 20231

### ACCOMPANYING APPLICATION PARTS

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract Total Pages **23**
4. ☒ Drawings Total Sheets **6**
5. Oath or Declaration Total Pages **2**
  - a. ☒ Newly unexecuted (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17  
completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence  
Submission (*if applicable, all necessary*)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above  
copies

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney by assignee
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)  
PTO-1449
- ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Assignee: **CIRREX Corp.**  
**1335 Ridgeland Parkway**  
**Suite 120**  
**Alpharetta, Georgia 30004**
17. ☒ Other: Request for Non-Publication and  
Certification Under 35 U.S. § 122(b)(2)(B)(i)


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS:

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Date: May 9, 2001  
Telephone: 404.572.4600  
Facsimile: 404.572.5145  
Customer No. 20786

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
**Michael W. Medin et al.** )  
 )  
 Serial No.: **Unassigned** )  
 )  
 Filing Date: **Unassigned** )  
 )  
 Title: **System and Method for Passively** )  
**Aligning and Coupling Optical Devices** )

REQUEST FOR NON-PUBLICATION AND CERTIFICATION  
 UNDER 35 U.S.C. § 122(b)(2)(B)(i)

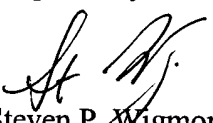
Assistant Commissioner of Patents  
 Box Patent Application  
 Washington, D.C. 20231

May 9, 2001

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

  
 Steven P. Wigmore  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail with Express Mail Label No. EE 859733056US in an envelope addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231, on May 9, 2001.

  
 Steven P. Wigmore, Reg. No. 40,447

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